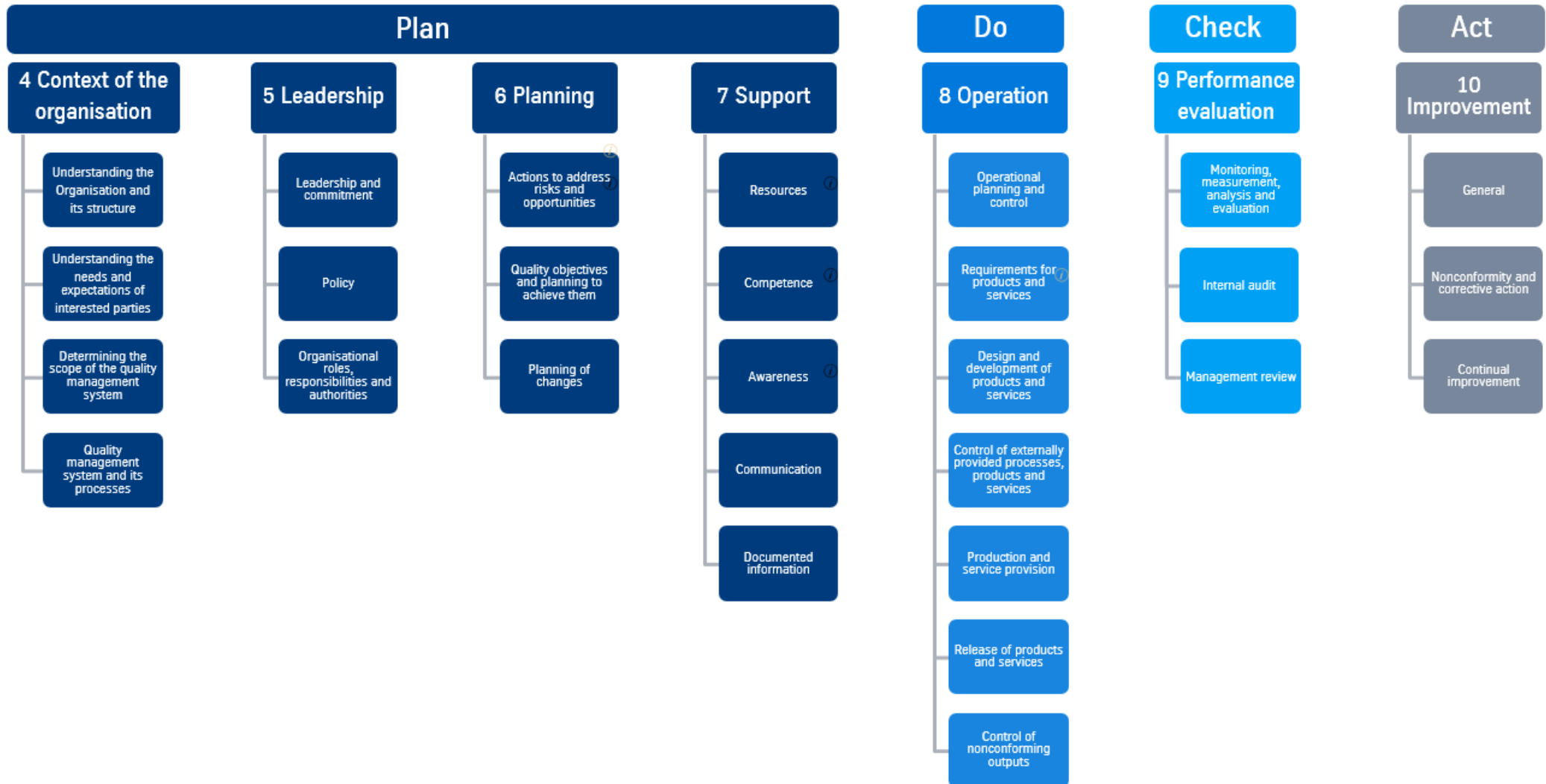




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| company name and adress | thyssenkrupp Rasselstein GmbH Koblenzer Straße 141, 56626 Andernach Germany (Head Office and manufacturing side) |
| homepage | https://www.thyssenkrupp-steel.com/en/products/packaging-steel/packaging-steel-overview-2.html |
| employees | 2.400 |
| processes | Tinplate and ECCS/TFS manufacturing: pickling, cold rolling, BA- and CA-annealing, temper rolling, tin coating or ECCS coating, coil laminating, sheet cutting, sheet lacquering, coil slitting |
| products | Tinplate or special chromium-coated packaging steel in thicknesses from 0.100 mm to 0.499 mm and widths from 10 mm to 1,200 mm Organic coating with lacquer and/or foil on request |
| product range | https://www.thyssenkrupp-steel.com/media/content_1/branchen/product_range_packaging_steel_thyssenkrupp.pdf |





| High-Level-Structure | Category | Issue | Description |
|----------------------|---|---|---|
| 4.3 | Certificates | Valid certificates | ISO 9001: 2015, ISO 14001:2015, ISO 45001:2018, ISO 50001:2018, HACCP/GMP |
| 4.3 | Certificates | Valid certificates | No certification according to ISO 22000, BRC, GFSI |
| 4.3 | Certificates | Valid certificates | https://www.thyssenkrupp-steel.com/en/company/operations-and-business-units/packaging-steel/certificates/zertifikate.html |
| 4.4 | Processes | Processes carried out on site | Pickling, cold rolling, degreasing/annealing, temper rolling, surface finishing, coating, shop finishing, packaging |
| 5.1.1.1 | Corporate Social Responsibility (CSR) | Ethical principles | thyssenkrupp Rasselstein has a Code of Conduct and a Supplier Code of Conduct. |
| 5.1.1.1 | Corporate Social Responsibility (CSR) | Complaints office | Compliance incidents can be reported anonymously (email, phone, postal service). |
| 5.1.1.1 | Corporate Social Responsibility (CSR) | Human rights | thyssenkrupp Rasselstein recognizes the principles of universal human rights. |
| 5.1.1.1 | Corporate Social Responsibility (CSR) | Supply chain regulation EU 2017/821 | Supply chain due diligence obligations for union importers of tin, tantalum, tungsten, their ores and gold originating from conflict-affected and high-risk areas are met according to the supply chain regulation. |
| 5.1.1.1 | Corporate Social Responsibility (CSR) | SEDEX-SMETA | The 4-pillar SMETA standard is complied with. The last audit took place in December 2021. |
| 5.2 | Corporate policy | Quality policy | Quality policy is in place, communicated and published. |
| 5.3 | Roles, responsibilities and authorities | Job descriptions | Job descriptions are available for all positions. |
| 6.1.2.3 | Emergency/crisis management | Customer supply | thyssenkrupp Rasselstein has a structured business continuity- / disaster recovery plan |
| 6.2 | Emergency/crisis management | Delivery performance | A target value is set. |
| 6.2 | Quality objectives | Performance appraisal / performance pay / bonus | Performance appraisals (performance pay / bonuses) are used in the collective bargaining and non-tariff areas. |
| 7.1.3 | Infrastructure | Staff changing rooms | The production staff has changing rooms and lockers for personal belongings. |
| 7.1.4 | Infrastructure | Cleanliness in production area | Cleaning schedules are defined for each production area. The condition is checked during inspection rounds. |
| 7.1.4 | Infrastructure | Smoking areas | In general, smoking is prohibited on company premises. Smoking is only permitted in designated areas. |



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| 7.1.5 | Laboratory | Internal laboratory | At the inspection station, one outgoing goods inspection is carried out for each cold-rolled strip. The outgoing goods inspection follows an inspection plan, which is based on tinplate standard DIN EN ISO 10202 or on the customer order. |
| 7.1.5 | Laboratory | Outsourced laboratory testing | Institut Eurofins Consumer Product Testing GmbH |
| 7.1.5 | Test/measuring equipment | Test/measuring equipment | All quality-relevant test/measuring equipment is subject to inspections and is checked regularly. The inspection of test equipment is monitored and documented centrally. Responsible persons are defined to ensure calibration. The test equipment officer defines the framework for test equipment monitoring. |
| 7.1.5 | Test/measuring equipment | Tensile test | All testing/measuring equipment used in material testing is certified and regularly calibrated. |
| 7.2 | Qualifications, training | Qualifications | The necessary qualifications are defined for each workplace. Lacking qualifications are acquired through qualification measures. The following qualification measures take place: Training courses, seminars, instructions |
| 7.2 | Qualifications, training | Further education | thyssenkrupp Rasselstein has development programs to prepare staff for specialist and management careers. |
| 7.2 | Qualifications, training | Occupational health care | Occupational health and safety measures are defined and performed for each workplace. |
| 7.2 | Qualifications, training | Medical health program | thyssenkrupp Rasselstein has a health program with voluntary preventive medical examinations. |
| 7.5.1 | Documented information | Quality management manual | thyssenkrupp Rasselstein has a manual on the integrated management system. |
| 7.5.3 | Documented information | Retention periods | As a rule, steered documents are kept for 6 years. |
| 8.2 | Documented information | Customer inquiries | Customer inquiries are checked for feasibility in an inquiry meeting. |
| 8.3 | Documented information | Product development | thyssenkrupp Rasselstein delivers in accordance with the tinplate standard, there is no product development. |
| 8.4.1 | Purchasing | Supplier management | Quality-relevant products are only procured from approved suppliers. Technical specifications and, if necessary, quality assurance agreements have been made with the suppliers. |
| 8.4.2 | Purchasing | Supplier audit (2nd party audit) | thyssenkrupp Rasselstein has an audit program for suppliers. The audits are usually carried out in accordance with the VDA 6.3 standard. Other standards such as Corporate Social Responsibility, ISO 45001, ISO 14001, Supply Chain Duty of Care Act, EU Conflict Minerals Regulation 2017/821 can also be part of the audit |
| 8.4.2 | Purchasing | Incoming goods inspection | For quality-relevant products. |
| 8.4.2 | Purchasing | Access control | Access to the factory premises is only granted to registered persons. Random checks are carried out at the factory exit. |



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| 8.4.2 | Purchasing | Outsourced processes | Galvanizing is carried out by contracted company |
| 8.4.2 | Purchasing | Outsourced service | External craftsmen support the maintenance of production facilities. |
| 8.4.2 | Purchasing | Conflict minerals | The company has a statement on conflict minerals. It can be viewed on request. |
| 8.5 | Production | Reference samples | Rasselstein does not hold keep reference samples. |
| 8.5 | Production | Works test certificates | Works test certificates are provided in coordination with the customer. |
| 8.5 | Production | Non-compliant products | Non-compliant products are marked and steered according to a defined procedure. Products that are not within the specification will not be shipped to customers without prior approval. |
| 8.5.1 | Production | Maintenance | thyssenkrupp Rasselstein carries out preventive and proactive maintenance. Maintenance is planned, carried out and followed up under SAP control. |
| 8.5.1 | Production | Control plan | A control plan of process parameters and product characteristics is specified for each production process. |
| 8.5.2 | Production | Labeling / traceability | All products are clearly marked (identifiable) and traceable. Traceability tests are carried out regularly as part of internal audits. |
| 8.5.4 | Production | Storage conditions | Intermediate and final products are stored in a protective environment. The first-in-first-out principle is applied. The storage conditions are checked regularly. |
| 8.7 | Complaints, recalls | Customer complaints | thyssenkrupp Rasselstein has a process for handling customer complaints. Procedure: <ul style="list-style-type: none"> - Determine immediate action - Analyze cause - Determine corrective action - Check effectiveness |
| 8.7 | Complaints, recalls | Recall process | thyssenkrupp Rasselstein has a documented procedure for recall process in place. |
| 8.7 | Logistics | Transport | Product transport takes place by: Rail Ship Truck The transport is carried out by the transport service providers in accordance with the agreed Incoterms. Loading regulations are defined for each type of transport and communicated to the transport companies. The transport is regularly checked on site. |
| 9.1 | Logistics | Process capability analysis | Due to a 100% outgoing goods inspection, no process capabilities are stated. |
| 9.1 | Logistics | Statistical process control (SPC) | Statistical process control (SPC) is used to monitor product characteristics and process parameters. |



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| 9.1.2 | Process control | Customer satisfaction analyses | Customer satisfaction analyses are carried out at regular intervals. The continuously incoming supplier evaluations are also analyzed. |
| 9.2 | Audit | Internal audits | Internal audits are carried out according to an audit program. The specifications of ISO 9001 and IATF 16949 are observed. Internal audits conducted in accordance with the standards: ISO 9001: 2015, IATF 16949:2016, ISO 14001:2015, ISO 45001:2018, ISO 50001:2018, HACCP/GMP |
| 9.2 | Audit | Customer audits | Audits are carried out in coordination with the customer. |
| 9.3 | Management review | Management review | The management review takes place annually. |
| 10.2.3 | Troubleshooting | Troubleshooting | thyssenkrupp Rasselstein has a structured troubleshooting process. In case of non-conformities - Determine immediate action - Analyze cause - Determine corrective action - Check effectiveness Depending on the non-conformity, it is documented. |
| 10.2.5 | Troubleshooting | Liability insurance | thyssenkrupp Rasselstein has a product liability insurance. |
| 10.3 | CIP | Continuous improvement process (CIP) | A continuous improvement process (CIP) is applied as a key tool in every team. |
| | HACCP/GMP | Pest control | Pest control is carried out by an external service provider. The bait spots are marked and documented on a map. The checks are carried out on regular base. The external service provider is certified and qualified to carry out pest control. The substances used are registered. Inspection means used for: - Rodents - Flying insects |
| | HACCP/GMP | HACCP audit (3rd party) | The audits take place at regular intervals. Documentation is available. |
| | HACCP/GMP | PRP/PRPO and CCP | Steering points are identified and recorded in the control plans. |
| | HACCP/GMP | Cross-contamination | Institut Eurofins Consumer Product Testing GmbH |
| | HACCP/GMP | Staff hygiene | Hygiene guidelines are stated as instructions. Additional content: Use of glass |
| | HACCP/GMP | Hygiene of external staff | External staff is instructed on hygiene guidelines as part of the safety briefing. |
| | HACCP/GMP | Eating and drinking | In HACCP zones eating and drinking is only allowed in the designated areas. |
| | HACCP/GMP | Silicone/Teflon | The process is free of silicone and Teflon. |



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| HACCP/GMP | Food defense | The plant premises are protected against unauthorized access. Only registered persons can enter the plant premises using a pass. |
| HACCP/GMP | Glass breakage | No glass or breakable material may be used in the HACCP areas. In case of glass breakage, there is a procedure for reporting glass breakage. |
| HACCP/GMP | Allergens | An Allergen Statement can be provided upon request. |
| HACCP/GMP | REACH | All steel products like blackplate and surface finished packaging steel manufactured by thyssenkrupp Rasselstein are considered as articles according to REACH Regulation 1907/2006. A statement can be provided upon request. |
| Environmental management | Environmental policy | Environmental policy in place, communicated and published. |
| Environmental management | Environmental influences / effects | Relevant environmental aspects have been identified and documented. |
| Environmental management | Recycling rate | Data is collected and published regularly. |
| Environmental management | Waste rate | Data are collected and documented. Available on request |
| Environmental management | Waste management | Waste is collected in separate systems |
| Environmental management | Environmental targets | Team-specific targets are regularly defined in accordance with ISO 14001. |
| Environmental management | Chemical register | The introduction of chemicals is subject to an approval process. All approved chemicals are listed in a chemical register with technical data sheets. |
| Environmental management | Chemical storage | Chemicals are stored appropriately |
| Environmental management | Reportable environmental incidents | Incidents are recorded and reported in accordance with ISO 14001. |
| Environmental management | Sustainability strategy | https://www.thyssenkrupp-steel.com/en/company/business-units/packaging-steel/sustainability/ |
| Energy management | Energy management | ISO 50001 certification, energy measurement technology, energy efficiency, energy strategy, energy policy |
| Safety/health protection | Accident rate (TRIR) | The audits take place at regular intervals. Documentation is available. |
| Safety/health protection | Reportable safety/health incidents | The audits take place at regular intervals. Documentation is available. |
| Safety/health protection | Occupational health care | Occupational health and safety measures are defined and performed for each workplace. |
| Safety/health protection | Health-promoting company | Promoting the individual health of our employees is an important contribution to the long-term success of our company. To this end, we can offer every employee a wide range of health promoting measures. |
| Safety/health protection | Gym | A free gym is available to all employees to maintain, improve or restore physical performance levels. |



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| General company information | Childcare | The company has its own childcare facility. Agreements on flexible working are also in place. |
| General company information | Professional associations | The company is a member of the employer association "vem". |
| General company information | Business license | thyssenkrupp Rasselstein is registered in the German commercial register (Handelsregister) as a 'GmbH'—'limited liability company'. Koblenz District Court Commercial Register Part B 13990 (HRB). Excerpt of the commercial register is available on request. DUNS number 31-278-3046 |

A handwritten signature in blue ink, appearing to read 'T Denkel'.

ppa. Thomas Denkel

Vice President Sales

A handwritten signature in blue ink, appearing to read 'H Schäfer'.

ppa. Dr. Heiner Schäfer

Head of Technical Customer Service